



KCG Securities Asia Limited

寶通證券亞洲有限公司

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Tel: (852)28421888 Fax: (852)28016288

Securities trading account opening & ordering procedures

Individual Client

Corporate Client

- (1.) Fill-in account opening form (Cash account / Margin account / Internet account)and Risk disclosure statements
- (2.) Copy of Identification Card / Passport
- (3.) Copy of water / electricity bills etc.,for identification

- (1.) Fill-in account opening form (Cash account / Margin account / Internet account) and Risk disclosure statements
- (2.) Board minute of maintaining a trading account with KCG Securities Asia Ltd and authorised signatory(ies) for order placing
- (3.) Memorandum and Articles of Association
- (4.) Latest Company's annual report
- (5.) Copy of Business Registration
- (6.) Copy of Certificate of Incorporation
- (7.) Copy of Register of Director
- (8.) Copy of Register of Members
- (9.) Copy of HK Identification Card / Passport of authorized person
- (10.) Latest Company's audit report
- (11.) Personal guarantee letter

* Certified true copy is required

* The Company must be registered outside Mainland China

Information-checking

Approved

Disapproved

1. Registered clients' application
2. Issuing a client card
3. Released the telephone number for order placing / personal code for Internet trading

Clients' deposit required

Internet trading

Login www.kcgmarket.com ;

To access name and code ;

Stock quotation / starts to trade.

Non- Internet trading

(1.) To place orders through telephone ;

(2.) Giving account number and name ;

(3.) Giving trading orders .

Trading statement will be issued in the next day and the end of each month .