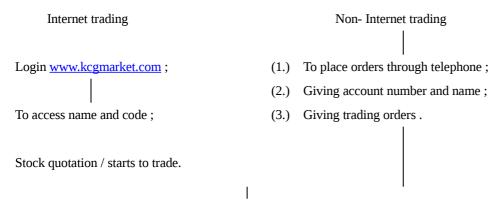


Securities trading account opening & ordering procedures

Individual Client **Corporate Client** (1.) Fill-in account opening form (Cash account / (1.) Fill-in account opening form (Cash account / Margin account / Internet Margin account / Internet account) and account)and Risk disclosure statements Risk disclosure statements Copy of Identification Card / Passport (2.) Board minute of maintaining a trading account with KCG Securities Asia Ltd and authorised (3.) Copy of water / electricity bills etc., for signatory(ies) for order placing (3.) Memorandum and Articles of Association identification (4.) Latest Company's annual report (5.) Copy of Business Registration (6.) Copy of Certificate of Incorporation (7.) Copy of Register of Director (8.) Copy of Register of Members (9.) Copy of HK Identification Card / Passport of authorized person (10.) Latest Company's audit report (11.) Personal guarantee letter * Certified true copy is required * The Company must be registered outside Mainland China Information-checking Approved Disapproved Registered clients' application 1. 2. Issuing a client card 3. Released the telephone number for order placing / personal code for Internet trading Clients' deposit required



Trading statement will be issued in the next day and the end of each month .