KCG Securities Asia Limited
寶通證券亞洲有限公司
Unit 2, 24th floor, Worldwide House, 19 Des Voeux Road, Central, H.K.
香港中環德輔道中19號環球大廈24樓2室
Tel:(852)28421888 Fax:(852)28016288

客戶須知

1. 客戶在作出買入股票指示前，必須先有足夠的款項存入本公司的銀行帳戶內。

账号名称	:	宝通证券亚洲有限公司 （KCG SECURITIES ASIA LIMITED）
1. 开户银行	:	渣打银行(香港)有限公司 Standard Chartered Bank (Hong Kong) Limited
户口账号	:	**447-1-076032-1**
2. 开户银行	:	香港上海汇丰银行有限公司 The Hongkong and Shanghai Banking Corporation Limited.
户口账号	:	**808-323414-292**
3. 开户银行	:	中国银行(香港)有限公司 Bank of China (Hong Kong) Limited
户口账号	:	**012-875-111-74646**

2. 客戶請在銀行的存款單據上寫上自己的姓名及股票帳號，然後傳真給本公司，以作核對。
傳真號碼 ：**(852) 2801 6288**

3. 客戶在發出沽出股票指示前，必須先在本公司存放不少于沽出數目的該隻股票。

4. 買賣股票時，客戶可致電他／她本人的經紀或直接經本公司下盤員發出買賣股票指示，但必須先報上客戶姓名及股票帳號。所有買賣股票指示，均有電話錄音。
(下盤員交易電話: 香港 **(852) 2842 1822**，國內 **130 – 6847 0848)**

5. 買賣股票單據，將于股票成交後的 1 天(T+1)日寄給客戶。

6. 有關股票交易上的疑問，客戶可致電他／她本人的經紀或直接致電交易董事查詢。
電話 ：(852) **2842 1832**

7. 客戶如要提款，請填妥提款單，簽名後傳真至本公司。提款只限於存入客戶本人的銀行帳戶內。

8. 客戶如要提取股票或把股票轉往其他公司，請填妥本公司交收指示表後，傳真至本公司便可。

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General Information :

1. Clients are required to make a cheque deposit into the Company through any one of the following banks before placing a bought order.

   Pay to : **KCG Securities Asia Limited**

   Account Number of those banks :

Standard Chartered Bank (Hong Kong) Limited	**447-1-076032-1**
The Hongkong and Shanghai Banking Corporation Limited	**808-323414-292**
Bank of China (Hong Kong) Limited	**012-875-111-74646**

2. Write down your name and client's account number in the pay-in slip then faxed to the Company for record.
   Fax No.: **(852) 2801 6288**

3. Clients are required to have sufficient stock deposit in the Company before placing a sold order for respective stock.

4. Clients can give an order instruction to his/her Account Executive or directly to our Dealer through telephone (Hong Kong Dealer's Tel. No. **(852) 2842 1822**, China's Dealer Tel. No. **130 - 6847 0848**), however he / she has to give his / her name and account number to the relevant staff before the dealing instruction. All order instructions are recorded.

5. Trading Statement will be issued to client on one day after trading day **(T + 1).**

6. Any queries of your order transaction, please call your Account Executive or Dealing Director at tel.: **(852) 2842 1832**

7. Clients can withdraw their deposits by filling-in Fund Withdrawal Form and faxed it to the Company. However the payee must be the name of the securities account holder.

8. Clients can withdraw / transfer their stocks by filling-in Settlement Instruction Request Form and faxed it to the Company.